

Some estimates of the coefficients of polynomials

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Abstract

Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n , then Rahman and Schmeisser [4] proved that for every $p \in [0, \infty]$ the inequality

$$|a_n| + |a_0| \leq 2 \frac{\|P\|_p}{\|1+z\|_p}$$

holds, where

$$\|P\|_p := \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^p d\theta \right\}^{\frac{1}{p}} \quad (0 < p < \infty)$$

$$\|P\|_\infty := \max_{|z|=1} |P(z)|$$

and

$$\|P\|_0 := \exp \left\{ \frac{1}{2\pi} \int_0^{2\pi} \log |P(e^{i\theta})| d\theta \right\}.$$

In this paper, we obtain some estimates of the coefficients of a polynomial $P(z)$ which among other things include the above inequality as a special case.

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1 Introduction and statement of results

Let \mathcal{P}_n denotes the class of all polynomials of degree at most n with complex coefficients.. For $P \in \mathcal{P}_n$ define,

$$\|P\|_p := \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^p d\theta \right\}^{\frac{1}{p}} \quad (0 < p < \infty)$$

$$\|P\|_\infty := \max_{|z|=1} |P(z)|$$

and

$$\|P\|_0 := \exp \left\{ \frac{1}{2\pi} \int_0^{2\pi} \log |P(e^{i\theta})| d\theta \right\}$$

If $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$, then by Rouché's theorem, it follows that

$$P(z) + \mu \|P\|_\infty = a_n z^n + a_{n-1} z^{n-1} + \dots + (a_0 + \mu \|P\|_\infty)$$

does not vanish in the unit disk $|z| < 1$ for any choice of $\mu \in \mathbb{C}$ with $|\mu| = 1$. It follows that $|a_0 + \mu \|P\|_\infty| \geq |a_n|$ for each $\mu \in \mathbb{C}$ with $|\mu| = 1$. By choosing the argument of μ suitably, we get

$$|a_n| + |a_0| \leq \|P\|_\infty \quad (1.1)$$

This inequality is a well known result called as Visser's inequality [5].

Equality in (1.1) holds only when $a_j = 0$ for $j = 1, 2, \dots, n-1$.

Different variants of this inequality can be found in [3]. Rahman and Schmeisser [?] extended the inequality (1.1) to L_p norms and proved the following:

If $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$, then

$$|a_n| + |a_0| \leq 2 \frac{\|P\|_p}{\|1+z\|_p} \quad \text{for each } p \in [0, \infty] \quad (1.2)$$

In this paper, we first prove the following result which among other things include inequalities (1.1) and (1.2) as special cases. In fact, we prove the following theorem.

Theorem 1.1. Let $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$, then for each $0 \leq p < \infty$,

$$|a_0| + \frac{|a_k|}{\binom{n}{k}} \leq 2 \frac{\|P\|_p}{\|1+z\|_p} \quad \text{for each } 0 \leq p < \infty \quad (1.3)$$

where $k = 1, 2, \dots, n$.

For $k = n$, the inequality (1.3) reduces to (1.2).

If we let $p \rightarrow \infty$ in (1.3), we obtain the following result, from which the Visser's inequality follows when $k = n$.

Corollary 1.2. Let $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$, then

$$|a_0| + \frac{|a_k|}{\binom{n}{k}} \leq \|P\|_\infty$$

where $k = 1, 2, \dots, n$.

Theorem 1.1 can be improved if we restrict ourselves to the class of polynomials having all zeros in $|z| \leq 1$. In this direction, we prove:

Theorem 1.3. If $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$ having all its zeros in $|z| \leq 1$, then for each $0 \leq p < \infty$,

$$|a_0| + \frac{|a_{n-k}|}{\binom{n}{k}} \leq 2M_p \frac{\|P\|_p}{\|1+z\|_p}$$

$$\text{where } k = 1, 2, \dots, n \text{ and } M_p = \begin{cases} 1 & \text{if } k = n. \\ \frac{1}{\|1+z\|_p} & \text{if } k < n. \end{cases}$$

Note that $0 < M_p < 1$ for $k < n$ and $p > 0$.

2 Lemmas

We first describe a result of Arestov [1]

For $\gamma = (\gamma_0, \gamma_1, \dots, \gamma_n) \in \mathbb{C}^{n+1}$ and $P(z) = \sum_{j=0}^n a_j z^j \in \mathcal{P}_n$, we define

$$C_\gamma P(z) = \sum_{j=0}^n \gamma_j a_j z^j$$

The operator C_γ is said to be admissible if it preserves one of the following properties:

- (i) $P(z)$ has all its zeros in $|z| \leq 1$
- (ii) $P(z)$ has all its zeros in $|z| \geq 1$

The result of Arestov [1], (Theorem 2) may now be stated as follows,

Lemma 2.1. Let $\varphi(x) = \psi(\log x)$, where ψ is a convex non-decreasing function on \mathbb{R} . Then for all $P \in \mathcal{P}_n$ each admissible operator C_γ ,

$$\int_0^{2\pi} \varphi(|C_\gamma P(e^{i\theta})|) d\theta \leq \int_0^{2\pi} \varphi(|c(\gamma) P(e^{i\theta})|) d\theta$$

where $c(\gamma) = \max(|\gamma_0|, |\gamma_n|)$

In particular Lemma 2.1 applies with $\varphi : x \rightarrow x^p$ for every $p \in (0, \infty)$ and with $\varphi : x \rightarrow \log x$ as well. Therefore, we have for $0 \leq p < \infty$.

$$\left\{ \int_0^{2\pi} (|C_\gamma P(e^{i\theta})|^p) d\theta \right\}^{\frac{1}{p}} \leq c(\gamma) \left\{ \int_0^{2\pi} |P(e^{i\theta})|^p d\theta \right\}^{\frac{1}{p}} \quad (2.1)$$

Lemma 2.2. Let $P \in \mathcal{P}_n$ and $P(z) = \sum_{j=0}^n a_j z^j$ having all its zeros in

$|z| \leq 1$, then for $k = 1, 2, \dots, n$, ϕ real and each $p > 0$

$$\int_0^{2\pi} \left| \left(\bar{a}_0 e^{in\theta} + \frac{\bar{a}_{n-k}}{\binom{n}{k}} e^{ik\theta} \right) e^{i\phi} + \left(\frac{\bar{a}_k}{\binom{n}{k}} e^{i(n-k)\theta} + \bar{a}_n \right) \right| d\theta \leq \Omega^p \int_0^{2\pi} |P^*(e^{i\theta})|^p d\theta$$

$$\text{where } k = 1, 2, \dots, n \text{ and } \Omega = \begin{cases} 1 & \text{if } k < n. \\ |1 + e^{i\phi}| & \text{if } k = n. \end{cases}$$

Proof: Since $P(z)$ has all its zeros in $|z| \leq 1$, then all zeros of $P^*(z) = z^n \overline{P(1/\bar{z})}$ lie in $|z| > 1$ and $|P(z)| = |P^*(z)|$ for $|z| = 1$. Therefore, $\frac{P(z)}{P^*(z)}$ is analytic in $|z| \leq 1$.

By maximum modulus principle, we have

$$|P(z)| \leq |P^*(z)| \text{ for } |z| \leq 1.$$

or equivalently,

$$|P^*(z)| \leq |P(z)| \text{ for } |z| \geq 1.$$

By Rouché's theorem, all the zeros of the polynomial

$$P^*(z) - \mu P(z) = \sum_{j=0}^n (\bar{a}_{n-j} - \mu a_j) z^j$$

lie in $|z| \leq 1$ for every $\mu \in \mathbb{C}$ with $|\mu| > 1$. If z_1, z_2, \dots, z_n are roots of $P^*(z) - \mu P(z)$, then $|z_j| \leq 1$, $j = 1, 2, \dots, n$ and we have by Viète's formula for $k = 1, 2, \dots, n$,

$$(-1)^{n-k} \left(\frac{\bar{a}_{n-k} + \mu a_k}{\bar{a}_0 + \mu a_n} \right) = \sum_{1 \leq i_1 < i_2 < \dots < i_{n-k} \leq n} z_{i_1} z_{i_2} \dots z_{i_{n-k}}$$

This gives

$$\left| \frac{\bar{a}_{n-k} + \mu a_k}{\bar{a}_0 + \mu a_n} \right| = \sum_{1 \leq i_1 < i_2 < \dots < i_{n-k} \leq n} |z_{i_1} z_{i_2} \dots z_{i_{n-k}}| \leq \binom{n}{n-k} = \binom{n}{k} \quad (2.2)$$

Therefore, all the zeros of the polynomial

$$\begin{aligned} \mathcal{G}(z) &= (\bar{a}_0 + \mu a_n) z^n + \frac{\bar{a}_{n-k} + \mu a_k}{\binom{n}{k}} z^k \\ &= \bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k + \mu \left(a_n z^n + \frac{a_k}{\binom{n}{k}} z^k \right) \end{aligned}$$

lie in $|z| \leq 1$ for $\mu \in \mathbb{C}$ with $|\mu| > 1$. So that if $s > 1$, the polynomial

$$\mathcal{G}(sz) = \bar{a}_0 (sz)^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} (sz)^k + \mu \left(a_n (sz)^n + \frac{a_k}{\binom{n}{k}} (sz)^k \right)$$

has all its zeros in $|z| < 1$. This gives

$$\left| \bar{a}_0 (sz)^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} (sz)^k \right| \leq \left| a_n (sz)^n + \frac{a_k}{\binom{n}{k}} (sz)^k \right| \quad (2.3)$$

for $|z| \geq 1$. For if inequality (2.3) is not true, then there exists a point w with $|w| \geq 1$ such that

$$\left| \bar{a}_0 (sw)^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} (sw)^k \right| > \left| a_n (sw)^n + \frac{a_k}{\binom{n}{k}} (sw)^k \right|$$

Since all the zeros of $P(z)$ lie in $|z| \leq 1$, then by similar argument as in (2.2), we have $|a_n| \geq \left| \frac{a_k}{\binom{n}{k}} \right|$ which implies that $a_n (sw)^n \geq \frac{a_k}{\binom{n}{k}} (sw)^k \neq 0$. If

we take

$$\mu = - \frac{\bar{a}_0 (sw)^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} (sw)^k}{a_n (sw)^n + \frac{a_k}{\binom{n}{k}} (sw)^k}$$

then μ is a well defined complex number with $|\mu| > 1$ and with this choice of μ we obtain $\mathcal{Q}(sw) = 0$ where $|w| \geq 1$ which contradicts the fact that all the zeros of $\mathcal{Q}(sz)$ lie in $|z| < 1$. Thus (2.3) holds. If we let $s \rightarrow 1$ in (2.3) and using continuity, it follows that,

$$\left| \bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k \right| \leq \left| a_n z^n + \frac{a_k}{\binom{n}{k}} z^k \right| = \left| \bar{a}_n + \frac{\bar{a}_k}{\binom{n}{k}} z^{n-k} \right| \quad (2.4)$$

for $|z| = 1$. Again, since $|a_n| \geq \left| \frac{a_k}{\binom{n}{k}} \right|$ the polynomial $\left| \bar{a}_n + \frac{\bar{a}_k}{\binom{n}{k}} z^{n-k} \right|$ does not vanish in $|z| < 1$. By the maximum modulus principle, it follows that,

$$\left| \bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k \right| < \left| \bar{a}_n + \frac{\bar{a}_k}{\binom{n}{k}} z^{n-k} \right| \quad \text{for } |z| < 1.$$

By Rouché's theorem, the polynomial

$$C_\gamma P^*(z) = \left(\bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k \right) e^{i\phi} + \left(\frac{\bar{a}_k}{\binom{n}{k}} z^{n-k} + \bar{a}_n \right)$$

has all its zeros in $|z| \geq 1$. Therefore, C_γ is an admissible operator. Applying (2.1) of lemma (2.1), the required result follows for $p > 0$.

Lemma 2.3. Let α be a complex number independent of θ , where θ is real. Then for each $p > 0$

$$\int_0^{2\pi} |\alpha + e^{i\theta}|^p d\theta = \int_0^{2\pi} |1 + |\alpha|e^{i\theta}|^p d\theta$$

Lemma 2.4. Let n be a positive integer and $0 \leq p \leq \infty$
 $\|1 + z^n\|_p = \|1 + z\|_p$.

For the above two Lemmas 2.3 and 2.4 see [2].

3 Proof of theorems

Proof of theorem 1.1. By hypothesis $P \in \mathcal{P}_n$, we can write

$$P(z) = P_1(z)P_2(z)$$

where all the zeros of $P_1(z)$ lie in $|z| > 1$ and all the zeros of $P_2(z)$ lie in $|z| \leq 1$. First, we suppose that $P_2(z)$ has no zero on $|z| = 1$. Let the degree of polynomial $P_1(z)$ be k , then the polynomial $P_1^*(z)$ has all its zeros in $|z| \leq 1$ and $|P_1^*(z)| = |P_1(z)|$ for $|z| = 1$.

Consider the polynomial

$$F(z) = P_1^*(z)P_2(z)$$

then all the zeros of $F(z)$ lie in $|z| \leq 1$

$$\begin{aligned} |F(z)| &= |P_1^*(z)||P_2(z)| \\ &= |P_1(z)||P_2(z)| \\ &= |P(z)| \end{aligned}$$

By the maximum modulus principle, it follows that

$$|P(z)| \leq |F(z)| \text{ for } |z| \geq 1$$

Since $F(z) \neq 0$ for $|z| \geq 1$, a direct application of Rouché's theorem shows that the polynomial $H(z) = P(z) + \mu F(z)$ has all its zeros in $|z| < 1$, for every $\mu \in \mathbb{C}$ with $|\mu| > 1$. Let $F(z) = \sum_{j=0}^n b_j z^j$, then the polynomial

$$H(z) = \sum_{j=0}^n (a_j + \mu b_j) z^j$$

has all its zeros in $|z| < 1$. If w_1, w_2, \dots, w_n be roots of $H(z)$, then $|w_j| < 1, j = 1, 2, \dots, n$ and we have by Viète's formula for $k = 1, 2, \dots, n$

$$(-1)^{n-k} \left(\frac{a_k + \mu b_k}{a_0 + \mu b_0} \right) = \sum_{1 \leq i_1 < i_2 < \dots < i_{n-k} \leq n} w_{i_1} w_{i_2} \dots w_{i_{n-k}}$$

Now, proceeding similarly as in the proof of Lemma 2.2, we obtain

$$\left| a_0 z^n + \frac{a_k}{\binom{n}{k}} z^k \right| \leq \left| b_0 z^n + \frac{b_k}{\binom{n}{k}} z^k \right|$$

for $|z| \leq 1$. This implies for each $p > 0$ and $0 \leq \theta < 2\pi$,

$$\int_0^{2\pi} \left| a_0 e^{in\theta} + \frac{a_k}{\binom{n}{k}} e^{ik\theta} \right|^p d\theta \leq \int_0^{2\pi} \left| b_0 e^{in\theta} + \frac{b_k}{\binom{n}{k}} e^{ik\theta} \right|^p d\theta \quad (3.1)$$

Again, since all the zeros of $F(z) = \sum_{j=0}^n b_j z^j$ lie in $|z| < 1$, similarly as shown before, the polynomial $b_0 z^n + \frac{b_k}{\binom{n}{k}} z^k$ also has all its zeros in $|z| < 1$. Therefore the operator C_γ defined by

$$C_\gamma F(z) = b_0 z^n + \frac{b_k}{\binom{n}{k}} z^k$$

is admissible. Hence by (2.1) of Lemma 2.1, for each $p > 0$ we have

$$\int_0^{2\pi} \left| b_0 e^{in\theta} + \frac{b_k}{\binom{n}{k}} e^{ik\theta} \right|^p d\theta \leq (c(\gamma))^p \left(\int_0^{2\pi} |F(e^{i\theta})|^p d\theta \right) \quad (3.2)$$

where $c(\gamma) = \max(|\gamma_0|, |\gamma_n|) = 1$. Combining inequalities (3.1), (3.2) and noting that $|F(e^{i\theta})| = |P(e^{i\theta})|$, we obtain for each $p > 0$.

$$\left\{ \int_0^{2\pi} \left| a_0 e^{in\theta} + \frac{a_k}{\binom{n}{k}} e^{ik\theta} \right|^p d\theta \right\}^{1/p} \leq \left\{ \int_0^{2\pi} |P(e^{i\theta})|^p d\theta \right\}^{1/p} \quad (3.3)$$

Here, we claim that for n and k being positive with $n > k$, we have,

$$\left\| \bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k \right\|_p \geq \frac{|\bar{a}_0| + \frac{|\bar{a}_{n-k}|}{\binom{n}{k}}}{2} \|1 + z\|_p. \quad (3.4)$$

Proof of the claim. If $|\bar{a}_0| = 0$ then (3.4) follows by fact $\|1 + z\|_p < 2$. Thus we assume that if $|\bar{a}_0| \neq 0$, then by Lemma 2.3 and Lemma 2.4, we obtain

$$\begin{aligned} \left\| \bar{a}_0 z^n + \frac{\bar{a}_{n-k}}{\binom{n}{k}} z^k \right\|_p &= |\bar{a}_0| \left\| z + \frac{\frac{\bar{a}_{n-k}}{\binom{n}{k}}}{\bar{a}_0} \right\|_p \\ &= |\bar{a}_0| \left\| 1 + \frac{\frac{\bar{a}_{n-k}}{\binom{n}{k}}}{\bar{a}_0} z \right\|_p. \end{aligned}$$

From the inequality

$$\left| \frac{1 + re^{i\theta}}{1 + e^{i\theta}} \right| \geq \frac{1+r}{2} \text{ with } r = \left| \frac{\bar{a}_{n-k}}{\binom{n}{k} \bar{a}_0} \right| \text{ and } 0 \leq \theta < 2\pi$$

We deduce,

$$|\bar{a}_0| \left| 1 + \frac{\bar{a}_{n-k}}{\binom{n}{k} \bar{a}_0} e^{i\theta} \right| \geq \frac{|\bar{a}_0| + \frac{|\bar{a}_{n-k}|}{\binom{n}{k}}}{2} |1 + e^{i\theta}|$$

This implies,

$$|\bar{a}_0| \left\| 1 + \frac{\bar{a}_{n-k}}{\binom{n}{k} \bar{a}_0} e^{i\theta} \right\|_p \geq \frac{|\bar{a}_0| + \frac{|\bar{a}_{n-k}|}{\binom{n}{k}}}{2} \|1 + e^{i\theta}\|_p$$

Using this in conjunction with (3.5), the desired claim follows.

Now using (3.3) in conjunction with (3.4), we get,

$$|a_0| + \frac{|a_k|}{\binom{n}{k}} \leq 2 \frac{\|P\|_p}{\|1 + z\|_p} \quad (3.5)$$

In case $P_1(z)$ has a zero on $|z| = 1$, inequality (3.5) follows by continuity.

This proves Theorem 1.1 for $p > 0$. To obtain this result for $p = 0$, we simply make $p \rightarrow 0+$.

Proof of Theorem 1.3. Since $P(z) = \sum_{j=0}^n a_j z^j$ has all its zeros in $|z| \leq 1$

Therefore, by (2.4), we have,

$$\left| \bar{a}_0 e^{in\theta} + \frac{\bar{a}_{n-k}}{\binom{n}{k}} e^{ik\theta} \right| \leq \left| \frac{\bar{a}_k}{\binom{n}{k}} e^{i(n-k)\theta} + \bar{a}_n \right| \quad (3.6)$$

for $k = 1, 2, \dots, n$

Also, by Lemma 2.2,

$$\int_0^{2\pi} \left| H(\theta) + e^{i\phi} G(\theta) \right|^p d\theta \leq \Omega^p \int_0^{2\pi} |P^*(e^{i\theta})|^p d\theta \quad (3.7)$$

where $H(\theta) = \bar{a}_0 e^{in\theta} + \frac{\bar{a}_{n-k}}{\binom{n}{k}} e^{ik\theta}$

and

$$G(\theta) = \frac{\bar{a}_k}{\binom{n}{k}} e^{i(n-k)\theta} + \bar{a}_n.$$

Integrating both sides of (3.7) with respect to ϕ from 0 to 2π , we get for each $p > 0$ and ϕ real

$$\int_0^{2\pi} \int_0^{2\pi} |H(\theta) + e^{i\phi} G(\theta)|^p d\theta d\phi \leq \int_0^{2\pi} \Omega^p \int_0^{2\pi} |P^*(e^{i\theta})|^p d\theta d\phi \quad (3.8)$$

Now, for every real ϕ and $t \geq 1$ and $p > 0$, we have

$$\int_0^{2\pi} |t + e^{i\phi}|^p d\phi \geq \int_0^{2\pi} |1 + e^{i\phi}|^p d\phi$$

If $H(\theta) \neq 0$, we take $t = |G(\theta)/H(\theta)|$, then by (3.6) $t \geq 1$ and by using Lemma 2.3 we get

$$\begin{aligned} \int_0^{2\pi} |H(\theta) + e^{i\phi} G(\theta)|^p d\theta &= |H(\theta)|^p \int_0^{2\pi} \left| 1 + e^{i\phi} \frac{G(\theta)}{H(\theta)} \right|^p d\phi \\ &= |H(\theta)|^p \int_0^{2\pi} \left| e^{i\phi} + \left| \frac{G(\theta)}{H(\theta)} \right| \right|^p d\phi \\ &\geq |H(\theta)|^p \int_0^{2\pi} |1 + e^{i\phi}|^p d\phi \end{aligned}$$

For $H(\theta) = 0$, this inequality is trivially true. Using this in (3.8), we conclude that, for real ϕ ,

$$\int_0^{2\pi} |H(\theta)|^p d\theta \int_0^{2\pi} |1 + e^{i\phi}|^p d\phi \leq \int_0^{2\pi} \Omega^p d\phi \int_0^{2\pi} |P^*(e^{i\theta})|^p d\theta.$$

which implies,

$$\left\{ \int_0^{2\pi} \left| \bar{a}_0 e^{in\theta} + \frac{\bar{a}_{n-k}}{\binom{n}{k}} e^{ik\theta} \right|^p d\theta \right\}^{1/p} \leq \frac{\left\{ \int_0^{2\pi} \Omega^p d\phi \right\}^{1/p} \left\{ \int_0^{2\pi} |P^*(e^{i\theta})|^p d\theta \right\}^{1/p}}{\left\{ \int_0^{2\pi} |1 + e^{i\phi}|^p d\phi \right\}^{1/p}}$$

Using this in conjunction with (3.4), we get

$$|\bar{a}_0| + \frac{|\bar{a}_{n-k}|}{\binom{n}{k}} \leq 2M_p \frac{\|P^*(z)\|_p}{\|1+z\|_p}$$

or $|a_0| + \frac{|a_{n-k}|}{\binom{n}{k}} \leq 2M_p \frac{\|P(z)\|_p}{\|1+z\|_p}$

$$\text{where } k = 1, 2, \dots, n \text{ and } M_p = \begin{cases} 1 & \text{if } k = n. \\ \frac{1}{\|1+z\|_p} & \text{if } k < n. \end{cases}$$

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